



PTO/SB/21 (modified)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

3622  
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4-8-03

0001/PTO Rev. 10/95 <b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/991,428
		Filing Date	November 16, 2001
		First Named Inventor	Antony Awaida, et al.
		Group Art Unit Number	3622
		Examiner Name	Unassigned
Total Number of Pages in This Submission	9*	Attorney Docket Number	22725-06400

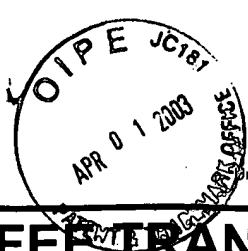
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<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement & PTO/SB/08A <input checked="" type="checkbox"/> Copies of IDS and 24 Cited References	<input type="checkbox"/>
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REMARKS: \* cited references not included in page count

SIGNATURE OF ATTORNEY OR AGENT		
Signature:		
Attorney/Reg. No.:	Brian M. Hoffman, Registration No. 39,713	Dated: 3/26/03

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Signature:		
Typed or Printed Name:	Brian M. Hoffman	Dated: 3/26/03
Express Mail Mailing Number (optional):		



# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 0

## Complete if Known

Application Number	09/991,428
Filing Date	November 16, 2001
First Named Inventor	Antony Awaida, et al.
Examiner Name	Unassigned
Art Unit	3622
Attorney Docket No.	22725-06400

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account:

Deposit Account Number 19-2555

Deposit Account Name Fenwick & West LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below  
☒ Charge any additional fee(s) required under 37 CFR 1.16 - 1.21 or credit any overpayments to the deposit account.<sup>†</sup>  
☐ Charge fee(s) indicated below, except for the filing fee to the deposit account.

A Duplicate Copy of this authorization is attached

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1) (\$)**

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20**	X	
Multiple Dependent	-3**	X	

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month <sup>†</sup>	
1252	410	2252	205	Extension for reply within second month <sup>†</sup>	
1253	930	2253	465	Extension for reply within third month <sup>†</sup>	
1254	1,450	2254	725	Extension for reply within fourth month <sup>†</sup>	
1255	1,970	2255	985	Extension for reply within fifth month <sup>†</sup>	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) (\$)** 0

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Name (Print/Type)	Brian M. Hoffman	Registration No. (Attorney/Agent)	39,713	Complete (if applicable)	Telephone (415) 875-2484
Signature				Date	3/26/03

<sup>†</sup>Request for Extension of Time per 37 CFR 1.136(a)(3) made hereby



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Antony Awaida, et al.  
APPLICATION NO.: 09/991,428  
FILING DATE: November 16, 2001  
TITLE: SYSTEM AND METHOD FOR CALCULATING REAL-TIME COSTING  
INFORMATION  
EXAMINER: Unassigned  
GROUP ART UNIT: 3622  
ATTY. DKT. NO.: 22725-06400

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 3/26/03

By: Brian M. Hoffman

Brian M. Hoffman, Reg. No.: 39,713

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WASHINGTON, D.C. 20231

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
    - or

- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☒ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior applications No. 09/939,206, filed on August 24, 2001, and No. 09/573,583, filed on May 18, 2000, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

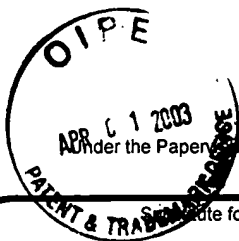
Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
ANTONY AWADA, ET AL.

Dated: 3/26/03

By: 

Brian M. Hoffman, Reg. No.: 39,713  
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Silicon Valley Center  
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<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
				Application No.	09/991,428
				Filing Date	November 16, 2001
				First Named Inventor	Antony Awaida, et al.
				Art Unit	3622
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	22725-06400

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
	C7	Arzoon Life Solutions web pages. "Total Landed Cost Calculator," pp.1-2 [online] [retrieved on 06/06/02]. Retrieved from the Internet: < <a href="http://www.arzoon.com/als_TotalLandedCostCalculator.html">http://www.arzoon.com/als_TotalLandedCostCalculator.html</a> >	
	C8	"Supply Chain Network Optimization," Genesis Solutions, 8 pages, < <a href="http://www.genessolutions.com">http://www.genessolutions.com</a> >	
	C9	"Logistics Execution for Global Trade," TRADEPAQ Corporation, 2 pages, © 1999-2002 by TRADEPAQ Corporation	
	C10	Widesoft Systems web pages. "Widesoft B2B Solutions," pp.1-4 [online] [retrieved on 06/06/2002]. Retrieved from the Internet: < <a href="http://www.wideesoft.com/br/site/english">http://www.wideesoft.com/br/site/english</a> >	
	C11	WorldWide Retail Exchange web pages. "WorldWide Trade Logistics," 2 pages [online], [retrieved on 06/06/2002] Retrieved from the Internet: < <a href="http://worldwideretailexchange.org/cs/en_US/exchange/wr36000.html">http://worldwideretailexchange.org/cs/en_US/exchange/wr36000.html</a> >	
	C12	Business Wire, "Fritz Offers Faster Duty and Tax Information to Clients; Takes Orders for New Proprietary Trade Cost Model," 4 pages, 02/10/1999	
	C13	ITS America web pages, "Syntra Technologies Launches Global Commerce Management Initiative," pp. 1-4, [online] [retrieved on 06/06/02] Retrieved from Internet: < <a href="http://itsa.org/ITSNEWS.NSF/4e0650bef6193b.../cc83903ba39f97852567da00407b7d?OpenDocument">http://itsa.org/ITSNEWS.NSF/4e0650bef6193b.../cc83903ba39f97852567da00407b7d?OpenDocument</a> >	
	C14	NextLinx web pages, "Supplylinks Chooses NetxLinx to Extend Global Transportation Solution," pp. 1-3 [online] [retrieved on 06/06/2002] Retrieved from Internet: < <a href="http://nextlinx.com/prs_rel3_20.html">http://nextlinx.com/prs_rel3_20.html</a> >	
	C15	Qiva web pages, "Qiva Rolls Out Integrated Product Strategy; Launches New Web Site and Corporate Identity," pp. 1-2 [online] [retrieved 06/06/2002] Retrieved from Internet: < <a href="http://www.qiva.com/news/prbrand711.html">http://www.qiva.com/news/prbrand711.html</a> >	
	C16	From2com, 07/1999, Forms Strategic Alliance With Subasta.com, PR Newswire	
	C17	PR Newswire, "Xporta Redefines Landed Cost With Its Introduction of the First Real-Time Solution for Domestic and International Shipments of All Commodities; Xporta Launches the Landmark Web-based Decision-Support Solution That Enables Buyers, Suppliers and e-Marketplaces to Quote Universal Landed Cost," 5 pages, 09/27/2000	

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Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

22725/06400/SF/5096080.1

Substitution for form 1449A/PTO

# **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**Complete if Known**

Application N . 09/991,428  
Filing Date November 16, 2001  
First Named Inventor Antony Awaida, et al.  
Art Unit 3622  
Examiner Name Unassigned  
Attorney Docket Number 22725-06400

Sheet 1 of 2

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US 2002/0049622 A1	04-25-2002	Lettich, et al.
	A2	US 2002/0010665 A1	01-24-2002	Lefebvre, et al.
	A3	US-5,063,506	11-05-1991	Brockwell, et al.
	A4	US-5,485,369	01-16-1996	Nicholls, et al.
	A5	US-5,799,151	08-25-1998	Hoffer
	A6	US-5,721,832	02-24-1998	Westrope, et al.
	A7	US-6,065,673	05-23-2000	Kokkila

## **OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>3</sup>
	C1	Network Computing web pages. "International E-Commerce" pp. 1-2 [online], November 15, 1999 [retrieved on 06/06/02]. Retrieved from the Internet: <URL: <a href="http://www.networkcomputing.com/1023/1023f24.html">http://www.networkcomputing.com/1023/1023f24.html</a> >	
	C2	Network Computing web pages. "International E-Commerce Governing Who Goes Global" pp. 1-2 [online], November 15, 1999 [retrieved on 06/06/02]. Retrieved from the Internet: <URL: <a href="http://www.networkcomputing.com/1023/1023f23.html">http://www.networkcomputing.com/1023/1023f23.html</a> >	
	C3	Network Computing web pages. "Shippers Try Software To Tally Foreign Tariffs" pp. 1-3 [online], December 20, 1999 [retrieved on 06/06/02]. Retrieved from the Internet: <URL: <a href="http://www.networkcomputing.com/news/1999/story/0,11280,37919,00.html">http://www.networkcomputing.com/news/1999/story/0,11280,37919,00.html</a> >	
	C4	SupplyChainBrain.com web pages. "The Level of Detail Determines Accuracy in Computing Landed Cost" pp. 1-4 [online], April 2001 [retrieved on 06/06/02]. Retrieved from the Internet: < <a href="http://www.supplychainbrain.com/archives/4.01.opinion.htm?adcode=30">http://www.supplychainbrain.com/archives/4.01.opinion.htm?adcode=30</a> >	
	C5	SupplyChainBrain.com web pages. "The Supply Chain e-Business Top 100 Plan: Supply-Chain Planning Builds on Its Success" pp. 1-4 [online], June 2001 [retrieved on 06/06/02]. Retrieved from the Internet: < <a href="http://www.glscs.com/archives/6.01.Plann100.htm?adcode=80">http://www.glscs.com/archives/6.01.Plann100.htm?adcode=80</a> >	
	C6	ManufacturingSystems.com web pages. "International efficiencies, Trade logistics software increases supply chain visibility, aids sourcing across national boundaries," pp. 1-3 [online] [retrieved on 06/06/02]. Retrieved from the Internet: < <a href="http://www.manufacturingsystems.com/newsletter/012802/sb0128.asp">http://www.manufacturingsystems.com/newsletter/012802/sb0128.asp</a> >	

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Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

22725/06400/SF/5096080.1